



Docket No. 740819-53 *CP/221*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: )  
Takenori YAMASHITA et al. ) Group Art Unit: 2812  
Serial No. 09/826,098 ) Examiner: Unknown  
Filed: April 5, 2001 )  
For: DRY ETCHING METHOD, FABRICATION )  
METHOD FOR SEMICONDUCTOR DEVICE, )  
AND DRY ETCHING APPARATUS )

RECEIVED  
JUN - 4 2002  
1C 2800 MAIL ROOM

CERTIFICATE OF MAILING  
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on May 23 2002.

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents and Trademarks  
Washington, D.C. 20231

Sir:

In accordance with the provisions of 37 C.F.R. 1.56 and 37 C.F.R. 1.97-1.99, it is requested that the references listed on the attached Form PTO-1449 be made of record in the above-identified application.

The references listed on the attached Form PTO-1449 were cited in a "Notice of Reasons for Rejection" for a corresponding Japanese patent application on February 26, 2002. A copy of each of the cited references and an English language translation of the notice are enclosed.

Japanese Patent Application Laid Open Gazette No. 2001-156051 was published on June 8, 2001, subsequent to the filing of the subject application, therefore is not enclosed herewith.

In accordance with 37 C.F.R. § 1.97(e), it is certified that either (1) each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement, or (2) no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign patent application and no item of information contained in the information disclosure statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this information disclosure statement.

The Commissioner is hereby authorized to charge fees under 37 C.F.R. §§1.16, 1.17, 1.20(a), 1.20(b), 1.20(c), and 1.20(d) (except the Issue Fee) which may be required now or hereafter, or credit any overpayment to Deposit Account No. 19-2380 (740819-537).

Respectfully submitted.

Donald R. Studebaker  
Registration No. 32,815

NIXON PEABODY LLP  
8180 Greensboro Drive, Suite 800  
McLean, Virginia 22102  
(703) 790-9110